

# Studies of the Relativistic Vlasov-Poisson System

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$$\text{rVP}^{\pm} : \left\{ \begin{array}{l} \left( \partial_t + \frac{p}{\sqrt{1+|p|^2}} \cdot \nabla_q \pm \nabla_q \varphi_t(\mathbf{q}) \cdot \nabla_p \right) f_t(\mathbf{p}, \mathbf{q}) = 0 \\ \Delta_q \varphi_t(\mathbf{q}) = 4\pi \int f_t(\mathbf{p}, \mathbf{q}) d^3p \\ \varphi_t(\mathbf{q}) \asymp -|\mathbf{q}|^{-1} \text{ as } |\mathbf{q}| \rightarrow \infty. \end{array} \right.$$

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- rVP<sup>-</sup> models a single specie system with attractive Newtonian interactions.

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**2001:** Glassey and Schaeffer publish additional results on the global existence of symmetric solutions to rVP.

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- 2008: Lemou, Méhats, and Raphaël study non-linear stability versus the formation of singularities in  $rVP^-$  through concentration compactness techniques. They also show that systems launched by initial data with negative total energy approach self-similar collapse.

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- They find the sharp value of  $\mathcal{C}_{3/2}^-$ , but leave the remaining non-trivial constants as the solution of a minimization problem.
- They also show that *zero total energy* initial data with total virial less than or equal to  $-1/2$  will blow up in finite time. However, they give no explicit examples of such data.
- Finally, the appendix to their paper presents a novel proposal whereby  $rVP^-$  might obtain as the Vlasov Limit of an overall neutral two-specie charged plasma on certain space-time scales.

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- 1) The optimal constants  $C_{\beta}^{-}$  for  $\beta > 3/2$  will be found.
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- 3) We examine the proposal for the emergence of  $rVP^-$  from overall neutral two-specie plasma interacting via *Coulombic* forces.

# Optimal $\mathfrak{L}^\beta$ -Control for the Global Cauchy Problem for rVP<sup>-</sup>

The critical constant  $\mathcal{C}_\beta^-$  is given by the following minimization problem:

$$\mathcal{C}_\beta^- \equiv \inf_{\mathfrak{P}_1 \cap \mathfrak{L}^\beta} \Phi_\beta(f),$$

$$\Phi_\beta(f) \equiv \left( \frac{\mathcal{E}_p^u(f)}{-\mathcal{E}_q(f)} \right)^{3(1-\frac{1}{\beta})} \|f\|_\beta,$$

The functionals  $\mathcal{E}_p^u$  and  $\mathcal{E}_q$  are given by

$$\mathcal{E}_p^u(f) \equiv \iint |p| f(p, q) d^3 p d^3 q,$$

$$\mathcal{E}_q(f) \equiv -\frac{1}{2} \iiint \frac{f(p', q') f(p, q)}{|q - q'|} d^3 p' d^3 p d^3 q' d^3 q.$$

# Main Steps in the Determination of $\mathcal{C}_\beta^-$

1. Show the existence of minimizers. This is accomplished by suitably altering an argument of M. Weinstein.
2. Characterize the minimizers. The minimizers are found to be given by the famous Lane-Emden functions.
3. Compute  $\mathcal{C}_\beta^-$  (which will depend on the first zeroes and derivatives at the first zero of the Lane-Emden functions). This last step must be done numerically as very few of the Lane-Emden functions are given by nice expressions.

# Existence of Minimizers

1. It is easier to minimize over an expanded class of functions:

$$\Omega_\beta = \{f : \mathbb{R}^6 \rightarrow \mathbb{R} : f \geq 0, \|f\|_1 + \| |p| f \|_1 + \|f\|_\beta < \infty\}.$$



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2. We have to make a corresponding change in our functional

$$\tilde{\Phi}_\beta(f) \equiv \left( \frac{\mathcal{E}_p^u(f)}{-\mathcal{E}_q(f)} \right)^{3(1-\frac{1}{\beta})} \|f\|_\beta \|f\|_1^{2-\frac{3}{\beta}},$$

with infimum  $\tilde{\mathcal{C}}_\beta$  over  $\Omega_\beta$ .

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with infimum  $\tilde{\mathcal{C}}_\beta$  over  $\Omega_\beta$ .

3. The triple family of scalings

$$f_{\kappa,\lambda,\mu}(p, q) \equiv \mu f(\lambda p, \kappa q)$$

leaves  $\tilde{\Phi}_\beta$  invariant and allows us to choose a minimizing sequence  $f_{\beta,n}$  so that  $\tilde{\Phi}_\beta(f_{\beta,n}) = (-\mathcal{E}_q(f_{\beta,n}))^{-3(1-\frac{1}{\beta})}$ . By taking spherically symmetric equi-measurable rearrangements, we can also assume our functions are spherically symmetric.

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$$\lim_{n \rightarrow \infty} (-\mathcal{E}_q(f_{\beta,n}))^{-3(1-\frac{1}{\beta})} = \tilde{C}_\beta \leq (-\mathcal{E}_q(f_\beta))^{-3(1-\frac{1}{\beta})}.$$

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6. Several technical estimates show

$$\lim_{n \rightarrow \infty} \mathcal{E}_q(f_{\beta,n}) = \mathcal{E}_q(f_\beta)$$

giving that  $f_\beta$  is a minimizer for  $\tilde{\Phi}_\beta$  over  $\Omega_\beta$ .

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$$\left. \frac{d}{dt} \right|_{t=0^+} \tilde{\Phi}_\beta((1-t)f_\beta + t\eta).$$

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2. After computing derivatives and taking advantage of the scaling above, we get

$$f_\beta(p, q) = (\phi_\beta(q) - |p|)_+^{\frac{1}{\beta-1}},$$

where  $\phi_\beta(q)$  satisfies the Lane-Emden PDE:

$$-\Delta \phi_\beta(q) = c(\beta) (\phi_\beta(q))_+^{\frac{3\beta-2}{\beta-1}}$$

for a constant  $c(\beta)$ .



# Characterization of Minimizers

3. By spherical symmetry, this becomes the following ODE

$$\begin{aligned} \frac{d^2 \phi_\beta}{dr^2} + \frac{2}{r} \frac{d\phi_\beta}{dr} + c(\beta) (\phi_\beta)_+^{\frac{3\beta-2}{\beta-1}} &= 0, \\ \frac{d\phi_\beta}{dr}(0) &= 0, \\ \frac{d\phi_\beta}{dr}(R_\beta) &= -\frac{1}{R_\beta^2}. \end{aligned}$$

The first boundary condition is imposed by the requirement that  $f_\beta$  be finite at the origin. The second one ensures that  $f_\beta$  has total mass 1 and is  $\mathcal{C}^1$ . Here,  $R_\beta$  is the first zero of  $\phi_\beta(r)$ .

## Characterization of Minimizers

4. When  $\beta > 3/2$ , the exponent appearing in the ODE,  $n_\beta$ , satisfies

$$3 < n_\beta = \frac{3\beta - 2}{\beta - 1} < 5,$$

with  $\beta = 3/2$  giving  $n_\beta = 5$  and  $\beta \rightarrow \infty$  limiting to  $n_\beta = 3$ .

As is well known, for  $0 \leq n_\beta < 5$ , the solutions to the Lane-Emden ODE have a zero at a finite distance from the origin. The  $\beta = 3/2$  (and so,  $n_\beta = 5$ ) case does not have compact support (this solution is known as Plummer's Sphere).

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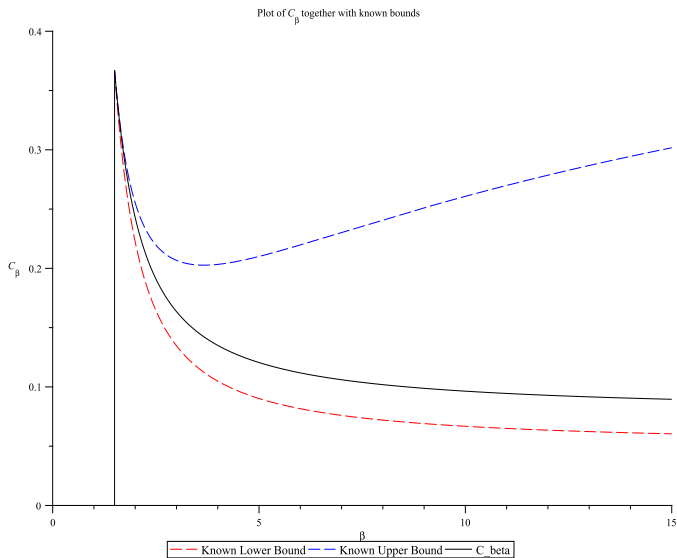
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5. Except in the critical case  $\beta = 3/2$  (which was found explicitly in the paper of Kiessling and Tahvildar-Zadeh), we find that our minimizers for  $\tilde{\mathcal{C}}_\beta$  are actually in  $\mathfrak{P}_1 \cap \mathfrak{L}^\beta$  and so give us  $\mathcal{C}_\beta^-$ .

$$\mathcal{C}_\beta^- = \left( \frac{\beta}{R_\beta(2\beta - 3)} \right)^{\frac{1}{\beta}}.$$

# Numerical Results



## Asymptotics

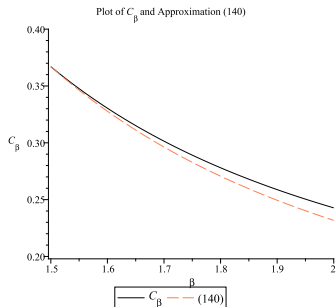
Using Buchdahl's result (1978) on the Plummer's Sphere ( $\beta = 3/2$ ) we find an asymptotic formula for  $\mathcal{C}_\beta^-$  that works well for  $\beta \searrow 3/2$ :

$$\mathcal{C}_\beta^- \approx \left[ \frac{3\pi}{16} \left( \frac{\beta}{4\beta - 3} \right) \right]^{\frac{1}{\beta}} \left( \frac{3\beta(2\beta - 1)(3\beta - 2)}{32\pi^2(\beta - 1)^3} \right)^{1 - \frac{1}{\beta}}.$$

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- S. Calogero (private communication to S. Tahvildar-Zadeh) has questioned the existence of such initial data.
- We show the existence of zero-energy initial data that lead to finite-time blow-up for  $rVP^-$ .

## Nearly Uniform Balls have Virial $> -1/2$

- Throughout, we use functions which are not  $\mathcal{C}^1$  to make calculations easier. We do this with the understanding that an appropriate procedure can be employed to regularize the data at the end of the construction.

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- First, the simplest possible ansatz will not work! That is, take

$$f(p, q) = C\eta(|q|)\Phi(|p|)\mathcal{L}(\cos(\theta_{p,q})),$$

with

$$\begin{aligned}\eta(|q|) &= \chi_{[0,R]}(|q|), \\ \Phi(|p|) &= \chi_{[0,P]}(|p|), \\ \mathcal{L}(x) &= \chi_{[-1,a]}(x)\end{aligned}$$

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$$\mathcal{E}_p(f) = \frac{3}{8} \left( \frac{\sqrt{1+P^2}}{P^2} + 2\sqrt{1+P^2} - \frac{\ln(P + \sqrt{1+P^2})}{P^3} \right),$$

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- This allows us to compute the virial  $\mathcal{V}(f)$  and we find for any choice of  $P$  and  $a$

$$\mathcal{V}(f) > -\frac{9}{20} > -\frac{1}{2}.$$

## A Core-Halo Ansatz

Next, we try  $f(p, q) = C\eta(|q|)\Phi(|p|)\mathcal{L}(\cos(\theta_{p,q}))$ , with

$$\eta(|q|) = \chi_{[0,R_1]}(|q|) + \alpha\chi_{[R_2,R_3]}(|q|),$$

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where  $0 < R_1 \leq R_2 \leq R_3$ ,  $0 < \alpha$ ,  $0 < P$ , and  $-1 < a \leq 1$ .

- We first consider the specific choices

$$R_1 = \frac{1}{5}, R_2 = 1, R_3 = 2, \text{ and } P = 1.$$

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Next, we try  $f(p, q) = C\eta(|q|)\Phi(|p|)\mathcal{L}(\cos(\theta_{p,q}))$ , with

$$\begin{aligned}\eta(|q|) &= \chi_{[0, R_1]}(|q|) + \alpha\chi_{[R_2, R_3]}(|q|), \\ \Phi(|p|) &= \chi_{[0, P]}(|p|), \\ \mathcal{L}(x) &= \chi_{[-1, a]}(x),\end{aligned}$$

where  $0 < R_1 \leq R_2 \leq R_3$ ,  $0 < \alpha$ ,  $0 < P$ , and  $-1 < a \leq 1$ .

- We first consider the specific choices

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- Forcing the energy to be zero gives a complicated (but positive) expression for  $\alpha$ .
- Choosing  $-1 < a \leq -4/5$  gives a virial which is less than  $-1/2$ .

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- Plugging these choices into the formula for the virial and looking at the asymptotics for large  $P$  shows that the virial is proportional to  $-(1 - a)P^3$ .

## A Monotonically Decreasing Core-Halo Ansatz

We now report on a second class of favorable initial data. Again, take  $f(p, q) = \mathcal{C}\eta(|q|)\Phi(|p|)\mathcal{L}(\cos(\theta_{p,q}))$ , but with

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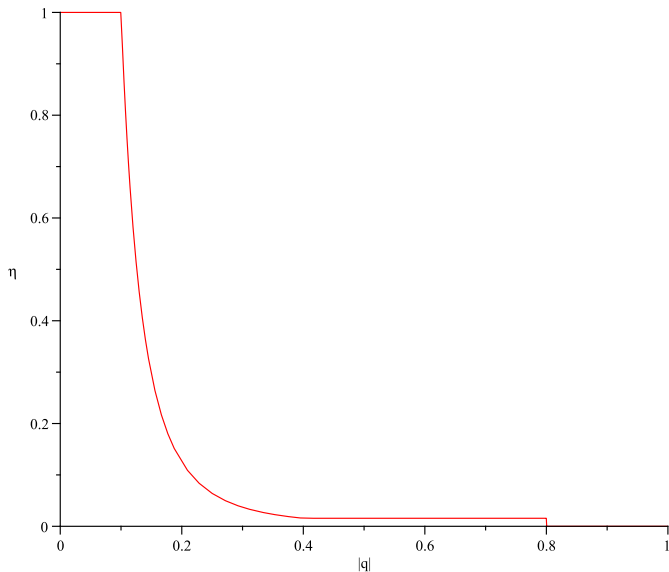
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- Choosing

$$R_1 = \frac{1}{100}, R_2 = \frac{1}{11}, R_3 = \frac{1}{10}, n = 3$$

sets a corresponding  $P$  (about 19.69) to force the zero-energy condition. Any choice of  $a$  less than roughly  $-9/10$  gives us a virial less than  $-1/2$ .

# A Monotonically Decreasing Core-Halo Ansatz



# Outline for Remainder of Talk

- A “Relativistic”  $2N$ -Body Coulomb System
- A Regularized Version of  $rVP^-$
- Large Deviations, Entropy, and Rates of Convergence for Initial Data
- Space-Time Rescalings
- The Traditional Vlasov Space-Time Scale
- The *A Priori* Space-Time Scale
- Conclusions and Future Directions

# A “Relativistic” $2N$ -Body Coulomb System

- Fix a *spherically symmetric* regularizer  $\eta \in \mathcal{C}_c^\infty(\mathbb{R}^3)$  with

$$0 \leq \eta \leq 1, \|\eta\|_1 = 1, \text{ and } \text{supp}(\eta) \subseteq B_1(0).$$

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$$G_\epsilon(\mathbf{q}_1, \mathbf{q}_2) = \iint \eta_\epsilon(\mathbf{q}_1 - \mathbf{w}) \frac{\mathbf{w} - \mathbf{w}'}{|\mathbf{w} - \mathbf{w}'|^3} \eta_\epsilon(\mathbf{w}' - \mathbf{q}_2) d^3 \mathbf{w} d^3 \mathbf{w}'.$$

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- Note  $G_\epsilon(\mathbf{q}_1, \mathbf{q}_2) \equiv G_\epsilon(\mathbf{q}_1 - \mathbf{q}_2)$ .

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We consider an overall neutral two-specie charged plasma with  $N$  particles of each type. All particles have unit mass. Even labels will refer to the positively charged species; odd labels will refer to the negative charges.

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- The dynamics is given by:

$$\begin{aligned} \dot{q}_i(t) &= v(p_i(t)), \\ \dot{p}_i(t) &= e_i \sum_{j=1}^N G_\epsilon(q_i(t), q_{2j}(t)) - G_\epsilon(q_i(t), q_{2j-1}(t)), \end{aligned}$$

where  $v(p) = \frac{p}{\sqrt{1+|p|^2}}$ .

# A “Relativistic” 2N-Body Coulomb System

We assume the initial condition

$$\mathcal{X}(0) \equiv (q_1(0), p_1(0), \dots, q_{2N}(0), p_{2N}(0)) \in \mathbb{R}^{12N}$$

for our plasma is chosen randomly according to

$$\mathbb{P}_0 = \bigotimes_{i=1}^{2N} f_0 d^3 p d^3 q,$$

where  $f_0 \geq 0$  is any sufficiently nice function (continuously differentiable, say) on  $\mathbb{R}^6$  with

$$\iint f_0(p, q) d^3 p d^3 q = 1.$$

# A “Relativistic” $2N$ -Body Coulomb System

We define the following *empirical measures*:

$${}^N\Delta_t^+(p, q) = \frac{1}{N} \sum_{i=1}^N \delta(p - p_{2i}(t)) \delta(q - q_{2i}(t)),$$

$${}^N\rho_t^+(q) = \frac{1}{N} \sum_{i=1}^N \delta(q - q_{2i}(t)),$$

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Using the densities, our dynamics is given by a coupled pair of PDEs:

$$\partial_t N\Delta_t^\pm + v(p) \cdot \nabla_q N\Delta_t^\pm \pm NG_\epsilon * \left[ N\rho_t^+ - N\rho_t^- \right] (q) \cdot \nabla_p N\Delta_t^\pm = 0.$$

# A Regularized Version of $rVP^-$

- Let  $f_t$  satisfy

$$\partial_t f_t + v(p) \cdot \nabla_q f_t - G_\epsilon * \rho_{f_t}(q) \cdot \nabla_p f_t = 0,$$

where

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- Associated to this PDE is a flow on  $\mathbb{R}^6$

$$V^0[f.](t, p, q) = \begin{bmatrix} -G_\epsilon * \rho_{f_t}(q) \\ v(p) \end{bmatrix}$$

$$T_{t,0}^0[f.](p, q) = (p(t), q(t))$$

$$\begin{bmatrix} \dot{p}(t) \\ \dot{q}(t) \end{bmatrix} = V^0[f.](t, p(t), q(t))$$

$$(p(0), q(0)) = (p, q).$$

## A Regularized Version of $rVP^-$

We list some basic properties of our flow.

- First, a sharp version of the HLS Inequality shows us that  $G_\epsilon$  is bounded and Lipschitz continuous (in either slot) with common bound

$$L_G = \frac{1}{\epsilon^3} \left( \frac{8\pi^2}{3} \right)^{\frac{4}{3}} L_\eta.$$

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$$\left| V^0[f](t, p_1, q_1) - V^0[f](t, p_2, q_2) \right| \leq L_0 |(p_1, q_1) - (p_2, q_2)|,$$

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- By a standard application of Gronwall's Inequality

$$\left| T_{t,0}^0[f](p_1, q_1) - T_{t,0}^0[f](p_2, q_2) \right| \leq e^{L_0 t} |(p_1, q_1) - (p_2, q_2)|.$$

# Large Deviations, Entropy, and Rates of Convergence for Initial Data

The topology we use is given by the dual, bounded Lipschitz distance (denoted  $d_{bL^*}$ ) on the space of probability measures: for two probability measures  $\mu$  and  $\nu$  defined on  $\mathbb{R}^6$

$$d_{bL^*}(\mu, \nu) = \sup_{\varphi \in \mathcal{D}(\mathbb{R}^6)} \left| \int \varphi d(\mu - \nu) \right|,$$

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This topology is equivalent to the topology generated by all bounded, continuous functions. Probabilists call convergence w.r.t.  $d_{bL^*}$  *convergence in law*.

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$$\mathbb{P}_0 \left( N\Delta_0^\pm \in A \right) \asymp \exp \left( - \inf_{\mu \in A} \{ H(\mu | f_0 d^3 p d^3 q) \} N \right).$$



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- $H(\mu | f_0 d^3 p d^3 q)$  is the relative entropy of  $\mu$  w.r.t.  $f_0 d^3 p d^3 q$ :

$$H(\mu | \nu) \equiv \int \ln \left( \frac{d\mu}{d\nu} \right) d\mu = \int \frac{d\mu}{d\nu} \ln \left( \frac{d\mu}{d\nu} \right) d\nu$$

# Large Deviations, Entropy, and Rates of Convergence for Initial Data

Since  $d_{bL^*}(N\Delta_0^\pm, f_0)$  is a continuous function of the random variable  $N\Delta_0^\pm$ , the *Contraction Principle* states that the metric also satisfies a large deviation principle:

$$\mathbb{P}_0 \left( d_{bL^*}(N\Delta_0^\pm, f_0) > \delta \right) \asymp \exp \left( -\underline{\mathcal{H}}_{f_0}(\delta)N \right)$$

with rate function

$$\underline{\mathcal{H}}_{f_0}(\delta) \equiv \inf_{\mu \in M_1(\mathbb{R}^6)} \{ H(\mu | f_0 d^3 p d^3 q) : d_{bL^*}(\mu, f_0) > \delta \}$$

for any  $\delta > 0$ .

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$$H(\nu | f_0 d^3 p d^3 q) \leq \frac{1}{(1 + 1/\kappa)\lambda^{1/\kappa}} \int \left| \nabla \ln \frac{d\nu}{df_0} \right|^{1+1/\kappa} d\nu$$

which is to hold for any choice of probability measure  $\nu$  absolutely continuous w.r.t.  $f_0 d^3 p d^3 q$ .

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- A lengthy argument shows  $f_0 d^3 p d^3 q$  satisfies  $LSI(\kappa, \lambda)$  iff

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- We emphasize that  $\kappa$  must satisfy  $\kappa \geq 1$ .

# Large Deviations, Entropy, and Rates of Convergence for Initial Data

- Suppose that  $f_0 = \exp(-V)$  for some convex function  $V$ . If  $V$  satisfies the condition

$$V(x) + V(y) - 2V\left(\frac{x+y}{2}\right) \geq \frac{\lambda}{\kappa} \|x - y\|^{1+\kappa},$$

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for all  $x$  and  $y$ , then  $f_0$  satisfies LSI( $\kappa, \lambda$ ) for some  $\lambda$ .

- For  $\kappa = 1$ , any  $V$  of the form

$$V(p, q) = g(p, q) + |(p, q)|^2$$

with  $g$  convex will satisfy LSI(1,  $\lambda$ ) for some  $\lambda$ .



# Large Deviations, Entropy, and Rates of Convergence for Initial Data

Assuming  $f_0$  satisfies LSI( $\kappa, \lambda$ ) gives the following rate

$$\mathbb{P}_0 \left( d_{bL^*}(N\Delta_0^\pm, f_0) > \delta \right) \lesssim \exp \left( -\frac{\lambda\delta^{1+\kappa}}{1+\kappa} N \right)$$

which shows that at  $t = 0$ ,  $d_{bL^*}(N\Delta_0^\pm, f_0)$  converges to zero in probability.

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For sufficiently large  $N$ , the expected distance converges to zero like:

$$\mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(N\Delta_0^\pm, f_0) \right] \lesssim \left( \frac{1}{\lambda N} \right)^{\frac{2}{1+\kappa}} \Gamma \left( \frac{2}{1+\kappa} \right) (1+\kappa)^{\frac{1-\kappa}{1+\kappa}}.$$

## Space-Time Rescalings

Recall that our empirical-measure-dynamics is given by

$$\partial_t^N \Delta_t^\pm + v(\rho) \cdot \nabla_q^N \Delta_t^\pm \pm N G_\epsilon * \left[ \frac{N}{\rho_t^+} - \frac{N}{\rho_t^-} \right] (q) \cdot \nabla_\rho^N \Delta_t^\pm = 0.$$

## Space-Time Rescalings

Recall that our empirical-measure-dynamics is given by

$$\partial_t^N \Delta_t^\pm + v(\rho) \cdot \nabla_q^N \Delta_t^\pm \pm N G_\epsilon * \left[ \rho_t^+ - \rho_t^- \right](q) \cdot \nabla_\rho^N \Delta_t^\pm = 0.$$

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- Many treatments assume the mass of the constituent particles is  $1/N$ . This will not work well with the relativistic velocity.
- We choose to rescale space and time variables with  $N$ :

$$\bar{t} = N^\alpha t,$$

$$\bar{q} = N^\alpha q,$$

$$\bar{\epsilon} = N^\alpha \epsilon,$$

$$\bar{\rho} = \rho.$$

# Space-Time Rescalings

- The rescaled normalized densities are given by

$${}^N\bar{\Delta}_{\bar{t}}^{\pm}(\bar{\rho}, \bar{q}) = N^{-3\alpha} {}^N\Delta_{N^{-\alpha}\bar{t}}^{\pm}(\bar{\rho}, N^{-\alpha}\bar{q}).$$

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- The choice  $\alpha = -1$  corresponds to the *traditional Vlasov scales* (here, long time and large space scales).
- The choice  $\alpha = 0$  gives the *a priori* scales (where Vlasov limits are not traditionally studied).

## The Traditional Vlasov Space-Time Scale

To be definite, our system of PDEs on the  $\alpha = -1$  scale is

$$\partial_t^N \Delta_t^\pm + v(p) \cdot \nabla_q^N \Delta_t^\pm \pm G_\epsilon * \left[ N_{\rho_t}^+ - N_{\rho_t}^- \right] (q) \cdot \nabla_p^N \Delta_t^\pm = 0.$$

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- We show  $N \Delta_t^\pm \rightarrow f_t^\pm$  as  $N \rightarrow \infty$ , where  $f_t^\pm$  satisfy the following *two-specie relativistic Vlasov-Poisson system*:

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- In the special case  $f_0^+ = f_0^- = f_0$ , then our coupled PDEs reduce to the free-streaming PDE:

$$\partial_t f_t + v(p) \cdot \nabla_q f_t = 0$$

which has the obvious solution  $f_t(p, q) = f_0(p, q - tv(p))$ .

# The Traditional Vlasov Space-Time Scale

The main estimate showing convergence for all  $t$  is

$$d_{bL^*}(N\Delta_t^+, f_t^+) + d_{bL^*}(N\Delta_t^-, f_t^-) \leq \frac{e^{(2\sqrt{3}L_G + L_\pm)t}}{2\sqrt{3}L_G} \left( d_{bL^*}(N\Delta_0^+, f_0^+) + d_{bL^*}(N\Delta_0^-, f_0^-) \right).$$

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- Sanov's Theorem still applies, and so

$$\mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(N\Delta_t^+, f_t^+) + d_{bL^*}(N\Delta_t^-, f_t^-) \right] \lesssim \frac{C e^{(2\sqrt{3}L_G + L_\pm)t}}{L_G N^{\frac{2}{1+\kappa}}}.$$



## The *A Priori* Space-Time Scale

For concreteness, our system of PDEs on the  $\alpha = 0$  scale is

$$\partial_t^N \Delta_t^\pm + v(\rho) \cdot \nabla_q^N \Delta_t^\pm \pm N G_\epsilon * \left[ N \rho_t^+ - N \rho_t^- \right] (q) \cdot \nabla_\rho^N \Delta_t^\pm = 0.$$

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- We now consider initial data chosen *iid* by  $f_0$  jointly in both species (recall, this gives free-streaming on the  $\alpha = -1$  scale).
- Associated to this PDE system are flows on  $\mathbb{R}^6$ :

$$V^{\pm} [N_{\Delta_t}^+, N_{\Delta_t}^-](t, p, q) = \begin{bmatrix} \pm NG_{\epsilon} * [N_{\rho_t}^+ - N_{\rho_t}^-](q) \\ v(p) \end{bmatrix},$$

$$T_{t,0}^{\pm} [N_{\Delta_t}^+, N_{\Delta_t}^-](p, q) = (p(t), q(t)),$$

$$\begin{bmatrix} \dot{p}(t) \\ \dot{q}(t) \end{bmatrix} = V^{\pm} [N_{\Delta_t}^+, N_{\Delta_t}^-](t, p(t), q(t)),$$

$$(p(0), q(0)) = (p, q).$$

# The *A Priori* Space-Time Scale

- Recall, we want to compare the finite  $N$  dynamics to  $f_t$  given by the regularized version of  $rVP^-$ :

$$\partial_t f_t + v(p) \cdot \nabla_q f_t - G_\epsilon * \rho_{f_t}(q) \cdot \nabla_p f_t = 0.$$

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- Recall that this PDE generates a flow  $T_{t,0}^0[f.]$  with associated vector field  $V^0[f.]$ , which has Lipschitz constant  $L_0$  (depending on  $L_G$ ), and so  $T_{t,0}^0[f.]$  has Lipschitz constant  $e^{L_0 t}$ .
- $L_0 = \max\{1, L_G\}$ .

# The Fixed Point Characterization

Using the various flows we have defined, we can represent our PDEs as fixed point equations.

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- For the regularized version of  $rVP^-$ :

$$f_t(p, q) = f_0 \circ T_{0,t}^0[f_\cdot](p, q).$$



## Basic Calculations

We use the definition of  $d_{bL^*}$  and the basic properties of test functions  $\varphi \in \mathcal{D}(\mathbb{R}^6)$  to find:

$$\begin{aligned} d_{bL^*}(N\Delta_t^+, f_t) + d_{bL^*}(N\Delta_t^-, f_t) \\ \leq e^{L_0 t} \left( d_{bL^*}(N\Delta_0^+, f_0) + d_{bL^*}(N\Delta_0^-, f_0) \right) + \lambda^+(t) + \lambda^-(t). \end{aligned}$$

where

$$\lambda^\pm(t) = \iint \left| T_{t,0}^\pm \left[ N\Delta^+, N\Delta^- \right](p, q) - T_{t,0}^0[f](p, q) \right| N\Delta_0^\pm(p, q) d^3 p d^3 q.$$

## Basic Calculations

Iterating the flow and using Gronwall's Inequality gives

$$\lambda^\pm(t) \leq e^{L_0 t} \int_0^t \gamma^\pm(\tau) e^{-L_0 \tau} d\tau$$

where

$$\begin{aligned} \gamma^\pm(t) &= \iint \left| V^\pm \left[ N_{\Delta_t^+}, N_{\Delta_t^-} \right] (t, p, q) - V^0[f.] (t, p, q) \right| N_{\Delta_t^\pm}(p, q) d^3 p d^3 q \\ &= \iint \left| G_\epsilon * \left[ \pm N \rho_t^+ \mp N \rho_t^- + \rho_{f_t} \right] (q) \right| N_{\Delta_t^\pm}(p, q) d^3 p d^3 q. \end{aligned}$$

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If we focus on  $\gamma^+(t)$ , we see

$$\gamma^+(t) = \sum_{i=1}^N \left| G_\epsilon * \left[ N \rho_t^+ - N \rho_t^- + \frac{1}{N} \rho_{f_t} \right] (q_{2i}(t)) \right|.$$

## Basic Calculations

We average over removing the  $j$ -th negative particle:

$$\begin{aligned} \gamma^+(t) &= \sum_{i=1}^N \left| G_\epsilon * \left[ \frac{N-1}{N} N \setminus i \rho_t^+ - N \rho_t^- + \frac{1}{N} \rho_{f_t} \right] (q_{2i}(t)) \right| \\ &\leq \frac{N-1}{N^2} \sum_{i=1}^N \sum_{j=1}^N \left| G_\epsilon * \left[ N \setminus i \rho_t^+ - N \setminus j \rho_t^- \right] (q_{2i}(t)) \right| \\ &\quad + \frac{1}{N} \sum_{i=1}^N \left| G_\epsilon * \left[ \rho_{f_t} - N \rho_t^- \right] (q_{2i}(t)) \right| \end{aligned}$$

where

$$N \setminus j \Delta_t^+(p, q) = \frac{1}{N-1} \sum_{k \neq j} \delta(p - p_{2k}(t)) \delta(q - q_{2k}(t)),$$

$$N \setminus j \Delta_t^-(p, q) = \frac{1}{N-1} \sum_{k \neq j} \delta(p - p_{2k-1}(t)) \delta(q - q_{2k-1}(t)).$$

## Basic Calculations

Using the fact that  $G_\epsilon$  is a vector-valued function with components that are bounded and Lipschitz continuous (by  $L_G$ ) gives:

$$\begin{aligned} \gamma^+(t) \leq & \sqrt{3}L_G \frac{N-1}{N} \sum_{i=1}^N \left[ d_{bL^*} \left( {}^{N \setminus i} \Delta_t^+, f_t \right) + d_{bL^*} \left( {}^{N \setminus i} \Delta_t^-, f_t \right) \right] \\ & + \sqrt{3}L_G d_{bL^*} \left( {}^N \Delta_t^-, f_t \right). \end{aligned}$$

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Ultimately, we will only look at *expectation values* over our ensemble. Since all particle labels are arbitrary in the ensemble, we have

$$\begin{aligned} \mathbb{E}_{\mathbb{P}_0} [\gamma^+(t)] \leq & \sqrt{3}L_G (N-1) \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*} \left( {}^{N-1} \Delta_t^+, f_t \right) + d_{bL^*} \left( {}^{N-1} \Delta_t^-, f_t \right) \right] \\ & + \sqrt{3}L_G \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*} \left( {}^N \Delta_t^-, f_t \right) \right]. \end{aligned}$$

## Basic Calculations

Putting this all together with previous estimates (and realizing that in the limit  $N - 1$  particles at time  $t$  is not essentially different than having  $N$  particles) gives:

$$\begin{aligned}
 & e^{-(\sqrt{3}L_G+L_0)t} \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(^N\Delta_t^+, f_t) + d_{bL^*}(^N\Delta_t^-, f_t) \right] \\
 & \lesssim \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(^N\Delta_0^+, f_0) + d_{bL^*}(^N\Delta_0^-, f_0) \right] \\
 & \quad + 2\sqrt{3}L_G N \int_0^t \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(^N\Delta_\tau^+, f_\tau) + d_{bL^*}(^N\Delta_\tau^-, f_\tau) \right] \\
 & \quad \cdot e^{-(\sqrt{3}L_G+L_0)\tau} d\tau.
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Putting this all together with previous estimates (and realizing that in the limit  $N \rightarrow \infty$  particles at time  $t$  is not essentially different than having  $N$  particles) gives:

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A final application of Gronwall's Inequality gives:

$$\begin{aligned} & \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(N\Delta_t^+, f_t) + d_{bL^*}(N\Delta_t^-, f_t) \right] \\ & \lesssim e^{2\sqrt{3}L_0 N t} \mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(N\Delta_0^+, f_0) + d_{bL^*}(N\Delta_0^-, f_0) \right] \end{aligned}$$



## Final Large Deviation Results

Combining the previous inequality with our expected rates of convergence from Sanov's Theorem gives:

$$\mathbb{E}_{\mathbb{P}_0} \left[ d_{bL^*}(N\Delta_t^+, f_t) + d_{bL^*}(N\Delta_t^-, f_t) \right] \lesssim \frac{Ce^{2\sqrt{3}L_0 Nt}}{N^{\frac{2}{1+\kappa}}}.$$

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- While we can't take the limit  $N \rightarrow \infty$  for any  $t > 0$ , we can conclude that for *finite* (but large)  $N$  our regularized version of  $rVP^-$  approximates our dynamics reasonably well for times on the order of  $1/N$ .

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- We could get convergence at all later times by requiring  $L_G N$  to tend to some finite quantity as  $N$  tends to infinity. This would force  $N\epsilon^{-3}$  to limit to something finite - or  $\epsilon$  to grow on the order of  $N^{1/3}$ . This is an extremely unphysical state of affairs.

## Question: What is going on?

- I. One possibility is that  $rVP^-$  is giving the dynamics for  $f_t$ , but our estimates are not sharp enough to show it.
- II. We outline what seems to be a more likely possibility. Consider the expected value of the force (as felt by any one of the negative particles, say).

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II. We outline what seems to be a more likely possibility. Consider the expected value of the force (as felt by any one of the negative particles, say).

- At the initial time, the expected force term is *exactly* the force from our regularized version of  $rVP^-$ :

$$\mathbb{E}_{\mathbb{P}_0} \left[ -G_\epsilon * \left[ N \rho_0^+ - (N-1) \rho_0^- \right] (q) \right] = -G_\epsilon * \rho_{f_0}(q).$$

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- II. We outline what seems to be a more likely possibility. Consider the expected value of the force (as felt by any one of the negative particles, say).

- At the initial time, the expected force term is *exactly* the force from our regularized version of rVP<sup>-</sup>:

$$\mathbb{E}_{\mathbb{P}_0} \left[ -G_\epsilon * \left[ N \rho_0^+ - (N-1)^{N-1} \rho_0^- \right] (q) \right] = -G_\epsilon * \rho_{f_0}(q).$$

- Provided that empirical one-point densities at time  $t$  converge to a deterministic  $f_t$ , then the rVP<sup>-</sup> force term results again:

$$\mathbb{E}_{\mathbb{P}_t} \left[ -G_\epsilon * \left[ N \rho_t^+ - (N-1)^{N-1} \rho_t^- \right] (q) \right] = -G_\epsilon * \rho_{f_t}(q).$$

However, this *does not* imply that  $f_t$  satisfies rVP<sup>-</sup>.

## Question: What is going on?

To shed more light on the matter, we consider the discrepancy of the force from its expected value:

$$\left| \mathbf{G}_\epsilon * \left[ -\rho_{f_0} + N^N \rho_0^+ - (N-1)^{N-1} \rho_0^- \right] (\mathbf{q}) \right|.$$

For  $f_0$  satisfying  $LSI(\kappa, \lambda)$ , Large Deviation techniques give:

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## Question: What is going on?

To shed more light on the matter, we consider the discrepancy of the force from its expected value:

$$\left| \mathbf{G}_\epsilon * \left[ -\rho_{f_0} + N^N \rho_0^+ - (N-1)^{N-1} \rho_0^- \right] (q) \right|.$$

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Since for  $\kappa = 1$  these quantities are bounded as  $N \rightarrow \infty$ , the force discrepancy term may actually converge in distribution in this case.



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**NB:** In the case of a 2. or 3., time averages over some window of size  $T$  for the spatial densities may well be approximated by those from  $rVP^-$ .

## Conjecture

We conjecture that the dynamics for  $f_t$  is given by

$$\partial_t f_t + v(p) \cdot \nabla_q f_t - G_\epsilon * \rho_{f_t}(q) \cdot \nabla_p f_t = \mathfrak{C}(f_t),$$

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Assuming that  $\mathfrak{C}(f) = 0$  if and only if  $f$  is the Boltzmann-Jüttner distribution

$$f_J(p, q) = C e^{-\beta(\sqrt{1+|p|^2} + \psi(q))},$$

(which is the case for all the known operators we have in mind), then any stationary state of our PDE is given by  $f_J$  and will satisfy the *stationary* relativistic Vlasov-Poisson system:

$$v(p) \cdot \nabla_q f_J - G_\epsilon * \rho_{f_J}(q) \cdot \nabla_p f_J = 0.$$

We plan to explore this conjecture in future work.